

Doc Code: M865 or FAI.REQ.INTV

PTO-413A (10-09)  
Approved for use through 07/31/2012. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No.: 10/585,011 First Named Applicant: Will A. Egner  
 Examiner: Baird, Edward J. Art Unit: 3695 Status of Application: Pending

**Tentative Participants:**

(1) Brian Carlson (2) Wei Yuan/Will Egner  
 (3) Edward Baird (4) Chuck Kyle

Proposed Date of Interview: May 6, 2010 Proposed Time: 10:00 a.m. (AM/PM)  
 Eastern Time

**Type of Interview Requested:**

(1)  Telephonic (2)  Personal (3)  Video Conference

Exhibit To Be Shown or Demonstrated:  YES  NO

If yes, provide brief description: \_\_\_\_\_

### Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art Adduci	Discussed	Agreed	Not Agreed
(1) <u>Rej.</u>	<u>1.22</u>	<u>Adduci</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Continuation Sheet Attached

Proposed Amendment or Arguments Attached

**Brief Description of Arguments to be Presented:**

Claim 1, for example, recites "determining an investment return per sector," and "selecting one of the sectors...for capital investment." In contrast, Adduci discloses service-level analysis over a geographic region (see RCE Amendment, pp.13-14).

An interview was conducted on the above-identified application on May 6, 2010.

**NOTE:** This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

/Brian A. Carlson/

\_\_\_\_\_  
Applicant/Applicant's Representative Signature

\_\_\_\_\_  
Examiner/SPE Signature

Brian A. Carlson

\_\_\_\_\_  
Typed/Printed Name of Applicant or Representative

37,793

\_\_\_\_\_  
Registration Number, if applicable

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.